| General information about company  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Scrip code   | 519234                                     |  |  |  |  |  |  |
| Name of the company  | SUPERIOR INDUSTRIAL ENTERPRISES<br>LIMITED |  |  |  |  |  |  |
| Whether company is SME   | Yes  |  |  |  |  |  |  |
| Class of Security  | Equity Shares                              |  |  |  |  |  |  |
| Type of report   | Half yearly                                |  |  |  |  |  |  |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)                | 30-09-2017                                 |  |  |  |  |  |  |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |  |  |  |  |  |  |  |
| Shareholding pattern filed under   | Regulation 31 (1) (b)                      |  |  |  |  |  |  |

| Sr. No. | Particular   | Yes/No |
|---------|--|--------|
| 1       | Whether the Listed Entity has issued any partly paid up shares?                        | No     |
| 2       | Whether the Listed Entity has issued any Convertible Securities ?                      | No     |
| 3       | Whether the Listed Entity has issued any Warrants?                                     | No     |
| 4       | Whether the Listed Entity has any shares against which depository receipts are issued? | No     |
| 5       | Whether the Listed Entity has any shares in locked-in?                                 | No     |
| 6       | Whether any shares held by promoters are pledge or otherwise encumbered?               | No     |
| 7       | Whether company has equity shares with differential voting rights?                     | No     |

|              | Table I - Summary Statement holding of specified securities |                         |                                   |                           |                          |                                 |  |   |               |           |                 |
|--------------|---|-------------------------|-----------------------------------|---------------------------|--------------------------|---------------------------------|--|---|---------------|-----------|-----------------|
|              |   |                         | No. of                            | No. Of<br>Partly<br>paid- | No. Of shares            | Total nos.                      | Shareholding<br>as a % of total<br>no. of shares | Number of Voting Rights held in each class of securities (IX) |               |           |                 |
| Category (I) | Category of<br>shareholder                                  | Nos. Of<br>shareholders | fully paid<br>up equity<br>shares | up<br>equity              | underlying<br>Depository | shares<br>held (VII)<br>= (IV)+ | (calculated as per SCRR,                         | No of Voti  | ng (XIV       | V) Rights | Total as a      |
| (II)         | (11)  | \ /                     | held (IV)                         | shares<br>held<br>(V)     | Receipts<br>(VI)         | = (IV)+<br>(V)+ (VI)            | 1957) (VIII) As<br>a % of<br>(A+B+C2)            | Class eg:<br>X  | Class<br>eg:y | Total     | % of<br>(A+B+C) |
| (A)          | Promoter &<br>Promoter<br>Group                             | 11                      | 6530536                           |                           |                          | 6530536                         | 47.15  | 6530536   |               | 6530536   | 47.15           |
| (B)          | Public  | 3490                    | 7319464                           |                           |                          | 7319464                         | 52.85  | 7319464   |               | 7319464   | 52.85           |
| (C)          | Non<br>Promoter-<br>Non Public                              |                         |                                   |                           |                          |                                 |  |   |               |           |                 |
| (C1)         | Shares<br>underlying<br>DRs                                 |                         |                                   |                           |                          |                                 |  |   |               |           |                 |
| (C2)         | Shares held<br>by<br>Employee<br>Trusts                     |                         |                                   |                           |                          |                                 |  |   |               |           |                 |
|              | Total   | 3501                    | 13850000                          |                           |                          | 13850000                        | 100  | 13850000  |               | 13850000  | 100             |

|          |   | Table   | I - Summar                                    | y Statement l   | nolding of specifi  | ied s                                  | ecuritie                        | s  |                                 |                                 |
|----------|---|---|---|---|---|--|---------------------------------|--|---------------------------------|---------------------------------|
| Category | Category of<br>shareholder<br>(II)      | Category of shareholder (III) Shares Underlying Outstanding convertible W | No. of<br>Shares<br>Underlying<br>Outstanding | No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and<br>No. Of<br>Warrants (Xi)<br>(a) | Shareholding, as a % assuming full conversion of convertible securities (as a       | Number of<br>Locked in<br>shares (XII) |                                 | Number of<br>Shares<br>pledged or<br>otherwise<br>encumbered<br>(XIII) |                                 | Number of equity shares held in |
|          |   |   |   |   | percentage of<br>diluted share<br>capital) (XI)=<br>(VII)+(X) As a %<br>of (A+B+C2) | No.                                    | As a % of total Shares held (b) | No.<br>(a)   | As a % of total Shares held (b) | dematerialized<br>form (XIV)    |
| (A)      | Promoter &<br>Promoter<br>Group         |   |   |   | 47.15   |  |                                 |  |                                 | 6415536                         |
| (B)      | Public                                  |   |   |   | 52.85   |  |                                 |  |                                 | 5947453                         |
| (C)      | Non<br>Promoter-<br>Non Public          |   |   |   |   |  |                                 |  |                                 |                                 |
| (C1)     | Shares<br>underlying<br>DRs             |   |   |   |   |  |                                 |  |                                 |                                 |
| (C2)     | Shares held<br>by<br>Employee<br>Trusts |   |   |   |   |  |                                 |  |                                 |                                 |
|          | Total                                   |   |   |   | 100   |  |                                 |  |                                 | 12362989                        |

|   |   |                                  | No. of                            | No.<br>Of<br>Partly   | No. Of                             | Total nos.                      | Shareholding<br>as a % of<br>total no. of<br>shares<br>(calculated<br>as per | Number of Voting Rights held in each class of securities (IX) |               |           |                                 |
|---|---|----------------------------------|-----------------------------------|-----------------------|------------------------------------|---------------------------------|--|---|---------------|-----------|---------------------------------|
| Sr.   | Category & Name<br>of the<br>Shareholders (I)   | Nos. Of<br>shareholders<br>(III) | fully paid<br>up equity<br>shares | paid-<br>up<br>equity | shares<br>underlying<br>Depository | shares<br>held (VII)<br>= (IV)+ |  | No of Voting (XIV) Rights                                     |               | /) Rights | Total<br>as a %                 |
|   |   |                                  | held (IV)                         | shares<br>held<br>(V) | Receipts<br>(VI)                   | (V)+ (VI)                       | SCRR, 1957)<br>(VIII) As a<br>% of<br>(A+B+C2)                               | Class eg:<br>X  | Class<br>eg:y | Total     | of<br>Total<br>Voting<br>rights |
| A   | Table II - Statemen   | t showing shar                   | eholding pa                       | ittern of t           | the Promoter                       | and Promote                     | er Group   | •   |               |           | •                               |
| (1)   | Indian  |                                  |                                   |                       |                                    |                                 |  |   |               |           |                                 |
| (a)   | Individuals/Hindu<br>undivided Family   | 6                                | 1352797                           |                       |                                    | 1352797                         | 9.77   | 1352797   |               | 1352797   | 9.77                            |
| (c)   | Financial<br>Institutions/<br>Banks   | 2                                | 125000                            |                       |                                    | 125000                          | 0.9  | 125000  |               | 125000    | 0.9                             |
| (d)   | Any Other (specify)   | 3                                | 5052739                           |                       |                                    | 5052739                         | 36.48  | 5052739   |               | 5052739   | 36.48                           |
| Sub-Total<br>(A)(1)   |   | 11                               | 6530536                           |                       |                                    | 6530536                         | 47.15  | 6530536   |               | 6530536   | 47.15                           |
| (2)   | Foreign   |                                  |                                   |                       |                                    |                                 |  | •   |               |           |                                 |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) |   | 11                               | 6530536                           |                       |                                    | 6530536                         | 47.15  | 6530536   |               | 6530536   | 47.15                           |
| В   | Table III - Statemen  | nt showing sha                   | reholding p                       | attern of             | the Public sh                      | areholder                       |  |   |               |           |                                 |
| (1)   | Institutions  |                                  |                                   |                       |                                    |                                 |  |   |               |           |                                 |
| (3)   | Non-institutions  |                                  |                                   |                       |                                    |                                 |  |   |               |           |                                 |
| (a(i))  | Individuals -<br>i.Individual<br>shareholders<br>holding nominal<br>share capital up to<br>Rs. 2 lakhs.             | 3443                             | 1679939                           |                       |                                    | 1679939                         | 12.13  | 1679939   |               | 1679939   | 12.13                           |
| (a(ii))   | Individuals - ii.<br>Individual<br>shareholders<br>holding nominal<br>share capital in<br>excess of Rs. 2<br>lakhs. | 23                               | 3784304                           |                       |                                    | 3784304                         | 27.32  | 3784304   |               | 3784304   | 27.32                           |
| (b)   | NBFCs registered with RBI   | 3                                | 26450                             |                       |                                    | 26450                           | 0.19   | 26450   |               | 26450     | 0.19                            |
| (e)   | Any Other (specify)   | 21                               | 1828771                           |                       |                                    | 1828771                         | 13.2   | 1828771   |               | 1828771   | 13.2                            |
| Sub-Total<br>(B)(3)   |   | 3490                             | 7319464                           |                       |                                    | 7319464                         | 52.85  | 7319464   |               | 7319464   | 52.85                           |
| Total Public<br>Shareholding<br>(B)=(B)(1)+<br>(B)(2)+(B)<br>(3)      |   | 3490                             | 7319464                           |                       |                                    | 7319464                         | 52.85  | 7319464   |               | 7319464   | 52.85                           |
| С   | Table IV - Stateme  | nt showing sha                   | reholding p                       | attern of             | the Non Pro                        | moter- Non l                    | Public sharehold   | der   |               |           |                                 |
| Total<br>( A+B+C2 )   |   | 3501                             | 13850000                          |                       |                                    | 13850000                        | 100  | 13850000  |               | 13850000  | 100                             |
|   |   |                                  |                                   | T                     |                                    |                                 |  |   |               |           |                                 |

| Tal  | ble II - Stater   | nent showing    | g shareholding                           | g pattern of the Pro                      | omot                                   | ter and                      | Pron   | noter Gr | oup                             |
|--|---|-----------------|--|---|--|------------------------------|--|----------|---------------------------------|
| Sr.  | No. Of Shares Underlying Outstanding convertible securities (X)  No. of Shares Underlying Outstanding Convertible securities (X)  No. of Shares Underlying Outstanding Convertible securities and No. Of Warrants (Xi)  No. of Shares Underlying Outstanding convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of No. of Shares Underlying Outstanding convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of No. of Shares Underlying Outstanding convertible securities (A) | Underlying      | Underlying<br>Outstanding<br>convertible | % assuming full conversion of convertible | Number of<br>Locked in<br>shares (XII) |                              | Number of<br>Shares<br>pledged or<br>otherwise<br>encumbered<br>(XIII) |          | Number of equity shares held in |
|  |   | No.<br>(a)      | As a % of total Shares held (b)          | No.<br>(a)                                | As a % of total Shares held (b)        | dematerialized<br>form (XIV) |  |          |                                 |
| A  | Table II - State  | ment showing sl | nareholding patter                       | n of the Promoter and F                   | romo                                   | ter Group                    | )  |          |                                 |
| (1)  | Indian  |                 |  |   |  |                              |  |          |                                 |
| (a)  |   |                 |  | 9.77                                      |  |                              |  |          | 1272797                         |
| (c)  |   |                 |  | 0.9                                       |  |                              |  |          | 90000                           |
| (d)  |   |                 |  | 36.48                                     |  |                              |  |          | 5052739                         |
| Sub-Total (A) (1)  |   |                 |  | 47.15                                     |  |                              |  |          | 6415536                         |
| (2)  | Foreign   |                 |  |   |  |                              |  |          |                                 |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) |   |                 |  | 47.15                                     |  |                              |  |          | 6415536                         |
| В  | Table III - State   | ement showing s | hareholding patte                        | ern of the Public shareho                 | older                                  | •                            |  | •        | •                               |
| (1)  | Institutions  |                 |  |   |  |                              |  |          |                                 |
| (3)  | Non-institution   | s               |  |   |  |                              |  |          |                                 |
| (a(i))   |   |                 |  | 12.13                                     |  |                              |  |          | 484428                          |
| (a(ii))  |   |                 |  | 27.32                                     |  |                              |  |          | 3684304                         |
| (b)  |   |                 |  | 0.19                                      |  |                              |  |          | 150                             |
| (e)  |   |                 |  | 13.2                                      |  |                              |  |          | 1778571                         |
| Sub-Total (B) (3)  |   |                 |  | 52.85                                     |  |                              |  |          | 5947453                         |
| Total Public<br>Shareholding<br>(B)=(B)(1)+(B)<br>(2)+(B)(3)         |   |                 |  | 52.85                                     |  |                              |  |          | 5947453                         |
| С  | Table IV - State  | ement showing s | shareholding patte                       | ern of the Non Promoter                   | - Nor                                  | n Public sl                  | nareho   | older    |                                 |
| Total<br>( A+B+C2 )  |   |                 |  | 100                                       |  |                              |  |          | 12362989                        |
| Total<br>(A+B+C)   |   |                 |  | 100                                       |  |                              |  |          | 12362989                        |

|  |                        | Individuals/Hindu undivided Family |                    |                  |                 |                       |  |  |  |  |
|--|------------------------|------------------------------------|--------------------|------------------|-----------------|-----------------------|--|--|--|--|
| Searial No.  | 1                      | 2                                  | 3                  | 4                | 5               | 6                     |  |  |  |  |
| Name of the<br>Shareholders (I)  | PRABHA RANI<br>AGRAWAL | DEEPTI<br>AGRAWAL                  | SANJEEV<br>AGRAWAL | ANANT<br>AGARWAL | ASHOK<br>SAXENA | RASHMI KANT<br>MITTAL |  |  |  |  |
| PAN (II)   | AAMPA0572D             | AAMPA0573C                         | ABOPA4675N         | AFSPA0559F       | AXNPS7152H      | ANIPM9957D            |  |  |  |  |
| No. of fully paid<br>up equity shares<br>held (IV)   | 50000                  | 518942                             | 669036             | 74819            | 20000           | 20000                 |  |  |  |  |
| No. Of Partly paid-<br>up equity shares<br>held (V)  |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| No. Of shares<br>underlying<br>Depository<br>Receipts (VI)   |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| Total nos. shares<br>held (VII) = (IV)+<br>(V)+ (VI)   | 50000                  | 518942                             | 669036             | 74819            | 20000           | 20000                 |  |  |  |  |
| Shareholding as a<br>% of total no. of<br>shares (calculated<br>as per SCRR,<br>1957) (VIII) As a<br>% of (A+B+C2)   | 0.36                   | 3.75                               | 4.83               | 0.54             | 0.14            | 0.14                  |  |  |  |  |
| Number of Voting   | Rights held in each c  | lass of securities (IX             | ()                 |                  |                 |                       |  |  |  |  |
| Class eg:X   | 50000                  | 518942                             | 669036             | 74819            | 20000           | 20000                 |  |  |  |  |
| Class eg:y   |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| Total  | 50000                  | 518942                             | 669036             | 74819            | 20000           | 20000                 |  |  |  |  |
| Total as a % of<br>Total Voting rights   | 0.36                   | 3.75                               | 4.83               | 0.54             | 0.14            | 0.14                  |  |  |  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities (X)  |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| No. of Shares<br>Underlying<br>Outstanding<br>Warrants (Xi)  |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and No.<br>Of Warrants (Xi)<br>(a)   |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 0.36                   | 3.75                               | 4.83               | 0.54             | 0.14            | 0.14                  |  |  |  |  |
| Number of Locked   | in shares (XII)        | •                                  | •                  |                  |                 | 1                     |  |  |  |  |
| No. (a)  |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| As a % of total<br>Shares held (b)   |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| Number of Shares j   | pledged or otherwise   | encumbered (XIII)                  | •                  | •                |                 | •                     |  |  |  |  |
| No. (a)  |                        |                                    |                    |                  |                 |                       |  |  |  |  |
| As a % of total  |                        |                                    | 1                  | +                |                 |                       |  |  |  |  |

| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 50000      | 478942 | 669036 | 74819 | 0 | 0 |
|--|------------|--------|--------|-------|---|---|
| Reason for not pro   | viding PAN |        |        |       |   |   |
| Reason for not providing PAN                                       |            |        |        |       |   |   |

|  | Individuals/Hindu undivided Family           |
|--|--|
| Searial No.  |  |
| Name of the<br>Shareholders (I)  | Click here to go back                        |
| PAN (II)   | Total  |
| No. of fully paid<br>up equity shares<br>held (IV)   | 1352797                                      |
| No. Of Partly paid-<br>up equity shares<br>held (V)  |  |
| No. Of shares<br>underlying<br>Depository<br>Receipts (VI)   |  |
| Total nos. shares<br>held (VII) = (IV)+<br>(V)+ (VI)   | 1352797                                      |
| Shareholding as a<br>% of total no. of<br>shares (calculated<br>as per SCRR,<br>1957) (VIII) As a<br>% of (A+B+C2)   | 9.77   |
| Number of Voting   | Rights held in each class of securities (IX) |
| Class eg:X   | 1352797                                      |
| Class eg:y   |  |
| Total  | 1352797                                      |
| Total as a % of<br>Total Voting rights   | 9.77   |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities (X)  |  |
| No. of Shares<br>Underlying<br>Outstanding<br>Warrants (Xi)  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and No.<br>Of Warrants (Xi)<br>(a)   |  |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 9.77   |
| Number of Locked   | in shares (XII)                              |
| No. (a)  |  |
| As a % of total<br>Shares held (b)   |  |
| Number of Shares   | pledged or otherwise encumbered (XIII)       |
| No. (a)  |  |
| As a % of total<br>Shares held (b)   |  |

| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 1272797    |
|--|------------|
| Reason for not prov  | viding PAN |
| Reason for not providing PAN                                       |            |

|   | Financial Institutions/ Banks                |                           |                       |  |  |  |  |  |
|---|--|---------------------------|-----------------------|--|--|--|--|--|
| Searial No.   | 1  | 2                         |                       |  |  |  |  |  |
| Name of the<br>Shareholders (I)   | FORTUNE INDUSTRIAL RESOURCES LIMITED         | SAPTRISHI FINANCE LIMITED | Click here to go back |  |  |  |  |  |
| PAN (II)  | AAACF1853B                                   | AACCS0082R                | Total                 |  |  |  |  |  |
| No. of fully paid<br>up equity shares<br>held (IV)  | 90000  | 35000                     | 125000                |  |  |  |  |  |
| No. Of Partly paid-<br>up equity shares<br>held (V)   |  |                           |                       |  |  |  |  |  |
| No. Of shares<br>underlying<br>Depository<br>Receipts (VI)  |  |                           |                       |  |  |  |  |  |
| Total nos. shares<br>held (VII) = (IV)+<br>(V)+ (VI)  | 90000  | 35000                     | 125000                |  |  |  |  |  |
| Shareholding as a<br>% of total no. of<br>shares (calculated<br>as per SCRR,<br>1957) (VIII) As a<br>% of (A+B+C2)                                      | 0.65   | 0.25                      | 0.9                   |  |  |  |  |  |
| Number of Voting  | Rights held in each class of securities (IX) |                           |                       |  |  |  |  |  |
| Class eg: X   | 90000  | 35000                     | 125000                |  |  |  |  |  |
| Class eg:y  |  |                           |                       |  |  |  |  |  |
| Total   | 90000  | 35000                     | 125000                |  |  |  |  |  |
| Total as a % of<br>Total Voting rights  | 0.65   | 0.25                      | 0.9                   |  |  |  |  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities (X)   |  |                           |                       |  |  |  |  |  |
| No. of Shares<br>Underlying<br>Outstanding<br>Warrants (Xi)   |  |                           |                       |  |  |  |  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and No.<br>Of Warrants (Xi)<br>(a)  |  |                           |                       |  |  |  |  |  |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 0.65   | 0.25                      | 0.9                   |  |  |  |  |  |
| Number of Locked  | in shares (XII)                              |                           |                       |  |  |  |  |  |
| No. (a)   |  |                           |                       |  |  |  |  |  |
| As a % of total<br>Shares held (b)  |  |                           |                       |  |  |  |  |  |
| Number of Shares  | pledged or otherwise encumbered (XIII)       |                           |                       |  |  |  |  |  |
| No. (a)   |  |                           |                       |  |  |  |  |  |
| As a % of total<br>Shares held (b)  |  |                           |                       |  |  |  |  |  |

| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 90000      | 0 | 90000 |
|--|------------|---|-------|
| Reason for not prov  | viding PAN | • |       |
| Reason for not providing PAN                                       |            |   |       |

| Any Other (specify)  |                              |                           |                                   |                       |  |  |
|--|------------------------------|---------------------------|-----------------------------------|-----------------------|--|--|
| Searial No.  | 1                            | 2                         | 3                                 |                       |  |  |
| Category   | Bodies Corporate             | Bodies Corporate          | Bodies Corporate                  | Click here to go back |  |  |
| Name of the<br>Shareholders (I)  | HAL OFFSHORE<br>LIMITED      | MOON BEVERAGES<br>LIMITED | HINDUSTAN AQUA PRIVATE<br>LIMITED |                       |  |  |
| PAN (II)   | AAACH3144B                   | AAACM1635J                | AAACH3298J                        | Total                 |  |  |
| No. of the<br>Shareholders (I)   | 1                            | 1                         | 1                                 | 3                     |  |  |
| No. of fully paid<br>up equity shares<br>held (IV)   | 2586434                      | 1466305                   | 1000000                           | 5052739               |  |  |
| No. Of Partly paid-<br>up equity shares<br>held (V)  |                              |                           |                                   |                       |  |  |
| No. Of shares<br>underlying<br>Depository<br>Receipts (VI)   |                              |                           |                                   |                       |  |  |
| Total nos. shares<br>held (VII) = (IV)+<br>(V)+ (VI)   | 2586434                      | 1466305                   | 1000000                           | 5052739               |  |  |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 18.67                        | 10.59                     | 7.22                              | 36.48                 |  |  |
| Number of Voting   | Rights held in each class of | securities (IX)           | _                                 | •                     |  |  |
| Class eg: X  | 2586434                      | 1466305                   | 1000000                           | 5052739               |  |  |
| Class eg:y   |                              |                           |                                   |                       |  |  |
| Total  | 2586434                      | 1466305                   | 1000000                           | 5052739               |  |  |
| Total as a % of<br>Total Voting rights   | 18.67                        | 10.59                     | 7.22                              | 36.48                 |  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities (X)  |                              |                           |                                   |                       |  |  |
| No. of Shares<br>Underlying<br>Outstanding<br>Warrants (Xi)  |                              |                           |                                   |                       |  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and No.<br>Of Warrants (Xi)<br>(a)   |                              |                           |                                   |                       |  |  |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 18.67                        | 10.59                     | 7.22                              | 36.48                 |  |  |
| Number of Locked   | in shares (XII)              | T                         |                                   |                       |  |  |
| No. (a)  |                              |                           |                                   |                       |  |  |
| As a % of total<br>Shares held (b)   |                              |                           |                                   |                       |  |  |

| Number of Shares pledged or otherwise encumbered (XIII)            |         |         |         |         |  |
|--|---------|---------|---------|---------|--|
| No. (a)  |         |         |         |         |  |
| As a % of total<br>Shares held (b)                                 |         |         |         |         |  |
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 2586434 | 1466305 | 1000000 | 5052739 |  |
| Reason for not providing PAN                                       |         |         |         |         |  |

| C '13T  | Ι,                             | Ι,                       | I <sub>2</sub>  | Ι.             | _          | ,               | ٦,                        |
|---|--------------------------------|--------------------------|-----------------|----------------|------------|-----------------|---------------------------|
| Searial No.   | 1<br>DDII                      | 2<br>NEEDAI              | 3               | 4              | 5          | 6               | 7                         |
| Name of the<br>Shareholders (I)   | BRIJ<br>BHUSHAN<br>SINGHAL HUF | NEERAJ<br>SINGHAL<br>HUF | RAKESH<br>RELAN | RITU<br>SINGAL | RUPESH JHA | MANISH<br>KUMAR | BRIJ<br>BHUSHAN<br>SINGAL |
| PAN (II)  | AAAHB6923R                     | AAEHN4557P               | AAEPR9201L      | ABHPS3711N     | ACAFS1762K | ACAFS9647P      | AEFPS6298M                |
| No. of fully paid<br>up equity shares<br>held (IV)  | 450000                         | 450000                   | 250974          | 450000         | 149500     | 155272          | 450000                    |
| No. Of Partly paid-<br>up equity shares<br>held (V)   |                                |                          |                 |                |            |                 |                           |
| No. Of shares<br>underlying<br>Depository<br>Receipts (VI)  |                                |                          |                 |                |            |                 |                           |
| Total nos. shares<br>held (VII) = (IV)+<br>(V)+ (VI)  | 450000                         | 450000                   | 250974          | 450000         | 149500     | 155272          | 450000                    |
| Shareholding as a<br>% of total no. of<br>shares (calculated<br>as per SCRR,<br>1957) (VIII) As a<br>% of (A+B+C2)                                      | 3.25                           | 3.25                     | 1.81            | 3.25           | 1.08       | 1.12            | 3.25                      |
| Number of Voting  | Rights held in each            | class of securitie       | s (IX)          |                |            |                 |                           |
| Class eg: X   | 450000                         | 450000                   | 250974          | 450000         | 149500     | 155272          | 450000                    |
| Class eg:y  |                                |                          |                 |                |            |                 |                           |
| Total   | 450000                         | 450000                   | 250974          | 450000         | 149500     | 155272          | 450000                    |
| Total as a % of<br>Total Voting rights  | 3.25                           | 3.25                     | 1.81            | 3.25           | 1.08       | 1.12            | 3.25                      |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities (X)   |                                |                          |                 |                |            |                 |                           |
| No. of Shares<br>Underlying<br>Outstanding<br>Warrants (Xi)   |                                |                          |                 |                |            |                 |                           |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and No.<br>Of Warrants (Xi)<br>(a)  |                                |                          |                 |                |            |                 |                           |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 3.25                           | 3.25                     | 1.81            | 3.25           | 1.08       | 1.12            | 3.25                      |
| Number of Locked  | in shares (XII)                |                          | •               |                |            |                 |                           |
| No. (a)   |                                |                          |                 |                |            |                 |                           |
| As a % of total<br>Shares held (b)  |                                |                          |                 |                |            |                 |                           |
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV)  | 450000                         | 450000                   | 250974          | 450000         | 149500     | 155272          | 450000                    |

| Reason for not providing PAN |  |  |  |  |  |  |  |
|------------------------------|--|--|--|--|--|--|--|
| Reason for not providing PAN |  |  |  |  |  |  |  |

|  |                                  | Τ_           |                       |
|--|----------------------------------|--------------|-----------------------|
| Searial No.  | 8                                | 9            |                       |
| Name of the<br>Shareholders (I)  | NEERAJ SINGAL                    | UMA SINGAL   | Click here to go back |
| PAN (II)   | ANRPS7986B                       | ANRPS7987A   | Total                 |
| No. of fully paid<br>up equity shares<br>held (IV)   | 450000                           | 250000       | 3055746               |
| No. Of Partly paid-<br>up equity shares<br>held (V)  |                                  |              |                       |
| No. Of shares<br>underlying<br>Depository<br>Receipts (VI)   |                                  |              |                       |
| Total nos. shares<br>held (VII) = (IV)+<br>(V)+ (VI)   | 450000                           | 250000       | 3055746               |
| Shareholding as a<br>% of total no. of<br>shares (calculated<br>as per SCRR,<br>1957) (VIII) As a<br>% of (A+B+C2)                                     | 3.25                             | 1.81         | 22.06                 |
| Number of Voting   | Rights held in each class of sec | urities (IX) |                       |
| Class eg: X  | 450000                           | 250000       | 3055746               |
| Class eg:y   |                                  |              |                       |
| Total  | 450000                           | 250000       | 3055746               |
| Total as a % of<br>Total Voting rights   | 3.25                             | 1.81         | 22.06                 |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities (X)  |                                  |              |                       |
| No. of Shares<br>Underlying<br>Outstanding<br>Warrants (Xi)  |                                  |              |                       |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and No.<br>Of Warrants (Xi)<br>(a)   |                                  |              |                       |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 3.25                             | 1.81         | 22.06                 |
| Number of Locked   | in shares (XII)                  |              |                       |
| No. (a)  |                                  |              |                       |
| As a % of total<br>Shares held (b)   |                                  |              |                       |
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV)   | 450000                           | 250000       | 3055746               |

| Reason for not |  |  |
|----------------|--|--|
| providing PAN  |  |  |

| Any Other (specify)  |  |                       |  |  |  |
|--|--|-----------------------|--|--|--|
| Searial No.  | 1  |                       |  |  |  |
| Category   | Bodies Corporate                             |                       |  |  |  |
| Category / More<br>than 1 percentage   | More than 1 percentage of shareholding       |                       |  |  |  |
| Name of the<br>Shareholders (I)  | JAY POLYCHEM (INDIA) LIMITED                 | Click here to go back |  |  |  |
| PAN (II)   | AAACJ0657M                                   | Total                 |  |  |  |
| No. of the<br>Shareholders (I)   | 1  | 0                     |  |  |  |
| No. of fully paid<br>up equity shares<br>held (IV)   | 1500000                                      | 0                     |  |  |  |
| No. Of Partly paid-<br>up equity shares<br>held (V)  |  |                       |  |  |  |
| No. Of shares<br>underlying<br>Depository<br>Receipts (VI)   |  |                       |  |  |  |
| Total nos. shares<br>held (VII) = (IV)+<br>(V)+ (VI)   | 1500000                                      | 0                     |  |  |  |
| Shareholding as a<br>% of total no. of<br>shares (calculated<br>as per SCRR,<br>1957) (VIII) As a<br>% of (A+B+C2)                                     | 10.83  | 0                     |  |  |  |
| Number of Voting   | Rights held in each class of securities (IX) |                       |  |  |  |
| Class eg: X  | 1500000                                      | 0                     |  |  |  |
| Class eg:y   |  |                       |  |  |  |
| Total  | 1500000                                      | 0                     |  |  |  |
| Total as a % of<br>Total Voting rights   | 10.83  | 0                     |  |  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities (X)  |  |                       |  |  |  |
| No. of Shares<br>Underlying<br>Outstanding<br>Warrants (Xi)  |  |                       |  |  |  |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and No.<br>Of Warrants (Xi)<br>(a)   |  |                       |  |  |  |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 10.83  | 0                     |  |  |  |
| Number of Locked in shares (XII)   |  |                       |  |  |  |
| No. (a)  |  |                       |  |  |  |
|  |  |                       |  |  |  |

| As a % of total<br>Shares held (b)                                 |         |   |  |  |
|--|---------|---|--|--|
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 1500000 | 0 |  |  |
| Reason for not providing PAN                                       |         |   |  |  |
| Reason for not providing PAN                                       |         |   |  |  |